



5298-04100/PM00012

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re Application of: Qiao et al.

Serial No. 09/661,666

Filed: September 14, 2000

For: METHOD OF FORMING SELF

ALIGNED CONTACTS

Group Art Unit: 2812 Examiner: Unknown

Atty. Dkt. No. 5298-04100

I hereby certify that this correspondence is being deposited with the U.S. Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 2023 I, on the date indicated below

INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents Washington, D.C. 20231

Sir:

Applicant requests consideration of \(\sqrt{\infty} \) the references listed on the attached 449 and/or \(\sqrt{\infty} \) the additional information ideas(6.1). PTO-1449 and/or the additional information identified below in paragraph 3. A ppy of each reference listed on the Form PTO-1449 is enclosed.

- ١. This Information Disclosure Statement is submitted:
 - M within 3 months of the filing date of the above identified application, or a. within 3 months of entry into National stage prosecution of an International application, or before the mailing date of the first Office Action on the merits, and thus no certification and/or fee is required.

	b.		after the events of above paragraph. In and prior to the mailing date of a final Office Action or Notice of Allowance, and thus:	
			the certification of paragraph 2 below is provided, or a fee of \$180.00 is enclosed.	
	c.		after the mailing date of a final Office Action or a Notice of Allowance and prior to payment of the issue fee, and thus:	
			the certification of paragraph 2 below is provided, and a fee of \$180.00 is enclosed.	
2.	It is he	is hereby certified:		
		was ci foreign	ach item of information contained in this Information Disclosure Statement ited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the nent, or	
		was ci foreigr after n	o item of information contained in the Information Disclosure Statement ited in a communication from a foreign patent office in a counterpart application or, to the knowledge of the person signing the certification naking reasonable inquiry, was known to any individual designated in § 1.56 are than three months prior to the filing of the Statement.	
3.			deration of the following additional information (including any co-pending ndoned U.S. applications, prior uses and/or sales, etc.) is requested:	
		Serial I	No. 09/333,459 (5298-02800)	
4. For each		ch non-	English language reference listed on the attached Form PTO-1449:	
		refere	nce is made to an English language translation submitted herewith, and/or	
			nce is made to a foreign patent office search report (in the English ge) submitted herewith, and/or	
			nce is made to an English language translation of a foreign patent office report submitted herewith, and/or	
			nce is made to the concise explanation contained in the specification of the application at page(s), and/or	
		refere	nce is made to the concise explanation set forth below:	
5.		Applic	ant also offers the following comments for the Examiner's consideration:	

6.		Also enclosed is a copy of a foreign search report citing these references.			
7.		The listed documents were brought to the attention of the Applicant(s) after payment of the issue fee in the captioned case. The documents were cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. Applicant(s) request this Information Disclosure Statement and attached Form PTO-1449 be placed in the file of the captioned application.			
8.		Applicant(s) requests that the Information Disclosure Statement and attached Form PTO-1449 and references, which are being filed before the grant of the patent and pursuant to 37 C.F.R. § 1.97(i), be placed in the file of the captioned application.			
	If any required fees are missing, the Commissioner is authorized to charge said				
Conley, Rose & Tayon, P.C. Deposit Account No. 50-1505/5298-04100/KLD.					
		Respectfully submitted, Kevin L. Daffer Reg. No. 34,146 Attorney for Applicant(s)			
CONLEY, ROSE & TAYON, P.C. P. O. Box 398 Austin, Texas 78767 (512) 476-1400					
Date: 1/5/01					